

Original Research

Efficacy and safety of original versus generic erlotinib in non-small cell lung cancer with epidermal growth factor receptor gene mutations in Thailand: a retrospective cohort study

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Abstract

Background: Non-small cell lung cancer (NSCLC) is a major cause of cancer-related mortality, for which mutations in the epidermal growth factor receptor (EGFR) gene are common in Asian populations. Erlotinib, an EGFR tyrosine kinase inhibitor, has been used as the first-line therapy for EGFR-mutated NSCLC. Generic erlotinib has been introduced in Thailand to increase accessibility, but efficacy and safety are major concerns. **Objective:** This study aimed to compare the efficacy and safety of generic versus originator erlotinib among Thai patients using real-world data from two quaternary hospitals. **Methods:** A retrospective cohort study collected data from medical record of advanced or metastatic EGFR-mutated NSCLC receiving either generic or originator erlotinib between September 2019 and September 2021 from Maharaj Nakorn Chiang Mai Hospital and Sunpasitthiprasong Hospital, Thailand. Primary outcomes were clinical benefit rate (CBR) at 12 months, progression-free survival (PFS) and treatment-related adverse events. Kaplan-Meier survival curve with log-rank test and the propensity score (PS)-adjusted models were employed to estimate the difference of response rate and safety between the generic vs. the originator erlotinib. **Results:** One hundred and seven patients were included. CBR at 12 months of the generic vs. originator erlotinib were 75.4 and 74.0% (adjusted HR 1.47 [95%CI 0.45-4.83, $p = 0.519$]). Median PFS of the generic vs. originator erlotinib were 15.2 months and 18.9 months (adjusted HR 1.98 [95%CI 0.99-3.94, $p = 0.053$]). The incidence of any adverse events for the generic vs. originator was 21.0 and 36.0% (adjusted OR 0.84 [95%CI 0.26-2.71, $p = 0.770$]). Most adverse events comprised mild skin toxicity, with no significant difference between the two groups (adjusted OR 1.03 [95%CI 0.31-3.43, $p = 0.958$]). **Conclusions:** No significant differences were noted regarding efficacy or safety between generic and originator erlotinib. These findings support the use of generic erlotinib as a cost-effective alternative in Thailand.

Keywords: Non-Small Cell Lung Cancer (NSCLC); Epidermal Growth Factor Receptor Genes (EGFR); erlotinib; generic drugs

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INTRODUCTION

Non-small cell lung cancer (NSCLC) constitutes a significant healthcare burden in Thailand¹, accounting for a substantial portion of cancer-related morbidity and mortality in the region. Among the various molecular subtypes of NSCLC, the presence of epidermal growth factor receptor (EGFR) gene mutations has emerged as a critical determinant for targeted therapy.² The frequency of the EGFR mutation in Asian populations was higher than in Caucasian populations (51.1 vs. 14.6%).³⁻⁴ The response rate of EGFR tyrosine kinase inhibitors (TKI) such as gefitinib or erlotinib in metastatic NSCLC with EGFR mutation positive was superior compared with chemotherapy.⁵⁻⁶

Erlotinib, a first-generation EGFR TKI, has demonstrated



impressive efficacy in treating *EGFR*-mutated NSCLC, leading to improved outcomes and prolonged survival for affected patients with approximate four months longer in median progression-free survival (PFS) (9.7 months in erlotinib vs. 5.2 months in standard chemotherapy).⁷⁻⁸ Currently, it constitutes the only *EGFR* TKI which is accessible in all health beneficial schemes in Thailand. The availability of generic versions of erlotinib has significantly impacted the treatment landscape, aiming to improve drug accessibility and reduce healthcare costs for patients in Thailand.⁹ According to the Thai Food and Drug Administration's (TFDA), the regulation of generic drugs in Thailand requires only bioequivalence studies, without clinical equivalence included.¹⁰ The concerns regarding the comparative efficacy and safety of generic erlotinib compared with the original drug have arisen. Because reports indicated the possibility of deviation of response between originator and generic medication, patients with chronic myeloid leukemia (CML) called for quality and consistency when generics were introduced to treat their cancer.¹¹⁻¹³

To address this crucial clinical question, a retrospective cohort study was conducted to comprehensively evaluate and compare the efficacy and safety of generic and originator erlotinib among Thai patients with *EGFR*-mutated NSCLC. This study used real-world data collected from two quaternary hospitals across Thailand, providing valuable insights into the effectiveness and tolerability of these treatments in a diverse patient population.

METHODS

Study design

This retrospective cohort study comprised adult patients newly diagnosed with *EGFR*-mutated advanced or metastatic NSCLC receiving either first-line original or generic erlotinib for at least three months from September 2019 until September 2021 from two hospitals at Maharaj Nakorn Chiang Mai Hospital; university affiliated hospital, Chiang Mai, Thailand as the largest hospital in north of Thailand, and Sunpasitthiprasong Hospital, Ubon Ratchathani, Thailand; as one of the large cancer center in northeast Thailand.. Patients were not in a terminally ill state and exhibited good physical condition, according to the Eastern Co-operation Oncology Group (ECOG) performance status, indicated by one of the following: ECOG performance status 0 to 2 or ECOG performance status 3 to 4 resulting from disease NSCLC not caused by another co-morbidity. Gene mutations detected were sensitive to erlotinib including exon 19 deletion (DEL19), exon 21 substitution mutations (L858R or L861Q) or exon 18 substitution mutations (G719X). Patients whose clinical response, and radiographic laboratory tests, e.g., computerized tomography (CT) or magnetic resonance imaging (MRI) could be assessed. Patients with insufficient data for analysis or switching between original and generic erlotinib would be excluded. Sample size estimation based on the response rate of erlotinib from the EURTAC trial⁸ at 71.0% and margin 12.5% with power 80%, $\alpha = 0.0500$ (one-sided) should be 163 patients per group.

Outcomes

Primary outcomes were clinical benefit response rate (CBR) at 12 months, PFS and treatment-related adverse events. CBR at 12 months was defined as the percentage of patients with completed response, stable disease, partial response or patients without document of failure or continued treatment at 12 months. Failure of treatment was defined when any following events occurred first including disease progression, death from any causes or discontinuation of medication due to severe adverse reactions. The data were collected at least 12 months after the first use of the drug and the data cut-off date was March 2022. Data were extracted from medical records including patient demographics, disease characteristics, treatment regimens, the starting date, the last date of erlotinib, the reasons for drug discontinuation and comorbidity.

Ethics approval

This retrospective study was conducted in compliance with the principles of the Declaration of Helsinki and was approved by the Research Ethics Committee, Faculty of Medicine, Chiang Mai University (Approval No. 415/2021) and the Research Ethics Committee, Sunpasitthiprasong Hospital (Approval No. 024/2565). Patient confidentiality and data anonymization were strictly upheld throughout the study to protect the participants' privacy.

Statistical analysis

Descriptive statistics were used to explain baseline characteristics and outcomes. SPSS, Version 16 was used for statistical analysis. Chi-square and independent t-test were used to compare between the erlotinib originator and its generic. Kaplan-Meier survival curve with log-rank test were also used to compare the PFS between the interventions. The propensity score (PS)-adjusted Cox-proportional hazard model was employed to estimate hazard ratio of response rate between the generic vs. the originator group, while the PS-adjusted logistic regression was used to compare any adverse events and skin-related adverse events of the interventions. PS score was estimated using binary logistic regression with covariates and was applied in the models as a covariate. Age and other variables with p values less than 0.100 in the difference characteristics of patients between groups were used as covariates.

RESULTS

In this retrospective cohort study, 107 patients were included. The characteristics of patients were well-distributed across groups including age, sex, follow-up time, presentation of underlying diseases, Charlson's comorbidity score and gene mutation. However, a notable disparity emerged in terms of medical benefit insurance, as shown in Table 1. Subsequent analyses carefully accounted for this imbalance. Age, health insurance (p value <0.001) and CNS metastasis (p value <0.001) were applied in the PS-adjusted Cox-proportional hazard model. All patients took erlotinib at 150 mg once daily.



Response rate

The primary outcomes of this study were to compare the response rate and safety between the erlotinib generic vs.

its originator. The CBR at 12 months was 75.4% (63.9-87.0) vs. 74.0% (61.4-86.6) ($p = 0.864$) in generic and originator, respectively, as shown in Table 2 and Figure 1.

Table 1. Characteristics of patients included in this study (n=107)

Characteristic	Number of patients (percentage)		P value
	Generic (n=57)	Originator (n=50)	
Age (Mean (SD))	63.0 (10.4)	67.0 (11.6)	0.066
Female	29 (50.9%)	29 (58.0%)	0.461
Follow-up time months (Median (IQR))	9.46 (8.0-15.2)	8.9 (10.9-15.2)	0.186
Medical benefit insurance			
- Universal health coverage (UC)	44 (77.2%)	9 (18.0%)	<0.001
- Civil Servant Medical Benefit (CSMB)	10 (17.5%)	37 (74.0%)	
- Social Security scheme (SSS)	1 (1.8%)	2 (4.0%)	
- Self-payment	2 (3.5%)	2 (4.0%)	
Underlying disease			
- No	40 (70.2%)	32 (64.0%)	0.497
- Yes	17 (29.8%)	18 (36.0%)	
Charlson's comorbidity score (Mean (SD))	8.1 (1.2)	8.5 (1.3)	0.102
CNS metastasis			
- No	38 (66.7%)	12 (66.7%)	<0.001
- Yes	6 (10.5%)	9 (10.5%)	
- N/A	13 (22.8%)	29 (22.8%)	
Gene mutation			
- Exon18 mutation (G719X)	2 (3.5%)	0	0.152
- Exon19 deletion	37 (64.9%)	23 (46.0%)	
- Exon 21 mutation (L585R, L861Q)	14 (24.6%)	23 (46.0%)	
- Others	4 (7.0%)	4 (8.0%)	

Table 2. Clinical benefit response rate, progression free survival and safety outcome

Outcome	Number of patients (percentage)		Hazard ratio or Odds ratio	
	[95% Confidence interval]		[95% Confidence interval, p value]	
	Generic (n=57)	Originator (n=50)	Unadjusted	Adjusted
CBR at	43 (75.4%)	37 (74.0%)	1.08 ^a	1.47 ^a
12 months	63.9-87.0	61.4-86.6	0.45-2.58, $p=0.864$	0.45-4.83, $p=0.519$
Progression free survival	15.2 months	18.9 months	1.28 ^a	1.98 ^a
	9.0-23.6	9.7-23.1	0.72-2.17, $p=0.435$	0.99-3.94, $p=0.053$
Any	12 (21.0%)	18 (36.0%)	0.47 ^b	0.84 ^b
adverse events	11.4-33.9	22.9-50.8	0.20-1.12, $p=0.089$	0.26-2.71, $p=0.770$
Grade 3-4	2 (3.5%)	1 (2.0%)	1.78 ^b	3.65 ^b
adverse event	0.4-12.1	0.0-10.6	0.16-20.26, $p=0.641$	0.14-92.08, $p=0.432$
Skin-related	12 (21.0%)	16 (32.0%)	0.57 ^b	1.03 ^b
adverse events	11.4-33.9	19.5 - 46.7	0.24-1.35, $p=0.201$	0.31-3.43, $p=0.958$

CBR: Clinical benefit response rate

^aReported as hazard ratio with its corresponding 95% confidence interval

^bReported as odds ratio with its corresponding 95% confidence interval

Note: Adjusted by propensity score which contained age, sex, and health insurance



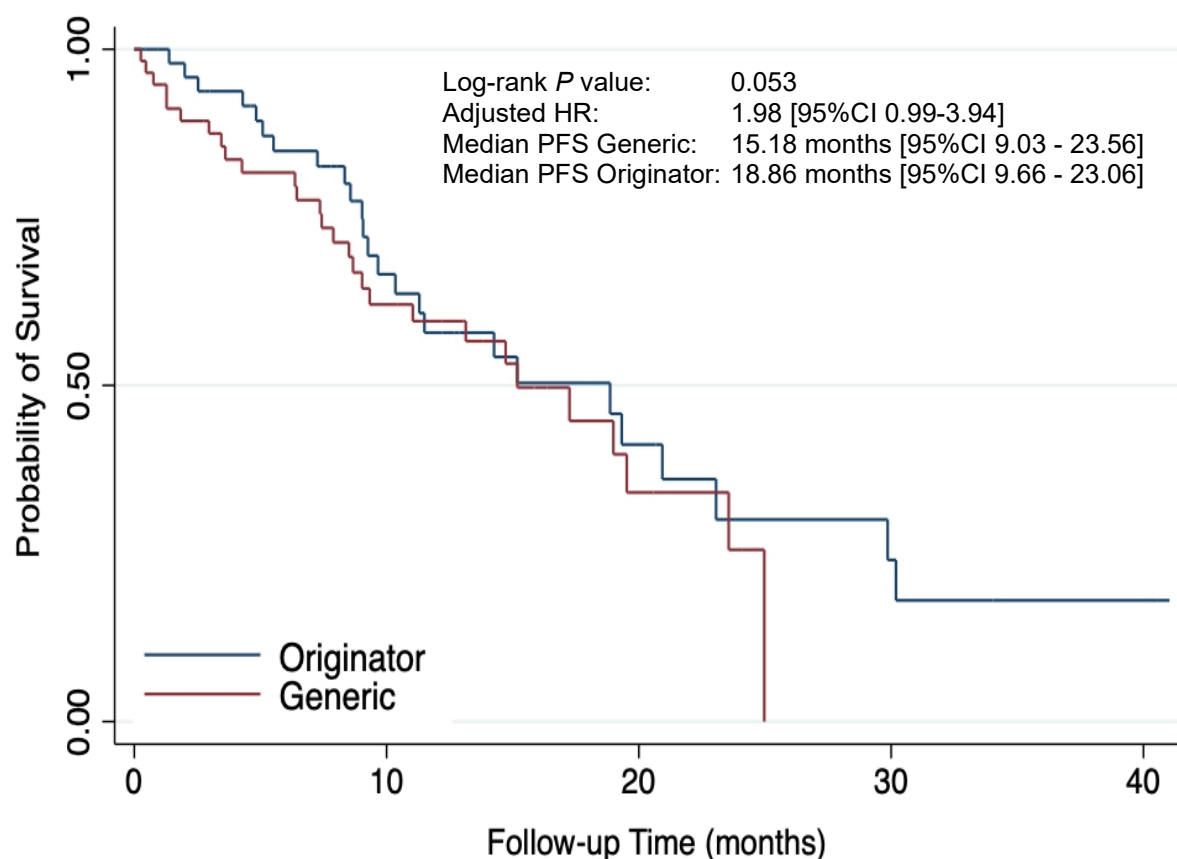


Figure 1. Kaplan-Meier plot of progression-free survival

Safety

The incidence of any adverse events occurred among 12 patients (21.0%) and 18 patients (36.0%) in the generic vs. the originator group. The odds ratio of any adverse event was 0.47 [95%CI 0.20-1.12, $p = 0.089$]. The adverse events observed were mostly grade 1/2 skin toxicity; acneiform rash, paronychia, dry skin and pruritus which occurred among 12 patients (21.0%) and 16 patients (32.0%) in the generic vs. the originator group ($p = 0.089$). There was no record of dose reduction. However, three patients were discontinued from erlotinib treatment due to adverse events, totaling two patients in the generic group (grade 3 acne-like rash and unindicated) and one patient in the original group (severe dyspnea). The odds ratio of grade 3 adverse event was 1.78 [95%CI 0.16-20.26, $p = 0.641$], as shown in Table 2.

DISCUSSION

The standard treatment of advanced or metastatic NSCLC with sensitive *EGFR* mutation is *EGFR* TKI, namely, erlotinib. After availability of generic erlotinib, this is the first study in Thailand to report the efficacy and safety between generic and originator erlotinib. This study used real-world data from two major hospitals in Thailand, providing valuable insights into the performance of generic cancer treatments in diverse

clinical settings and enhancing the relevance of the findings for healthcare practitioners.

Characteristics of patients in study vs. real life or other studies

Regarding the characteristic of patients, this study found the majority patients were female (54.2%) and the mean age at diagnosis was 63.0 ± 10.4 and 67.0 ± 11.6 years in the generic group and the originator group, respectively. These characteristics were consistent with related reports of Rosell and colleagues⁸ revealing that most patients were female (67.0%) with mean age was 63.4 ± 11.0 years. In addition, this study showed that most patients did not have an CNS metastasis at diagnosis (66.7%) and the most common sensitive mutation were exon 19 deletion and exon 21 mutation which were observed in 64.9 and 24.6% of patients, respectively. These results agreed with the results from Rosell R and colleagues⁸ and Zhou C and colleagues.¹⁵

Response rate

In this study, the one-year CBR did not significantly differ for either the patients treated with generic or originator of which were 75.4 and 74.0%, respectively. Correlatedly, the response rate was consistent with the related study and was observed in 71.0% (EURTAC trial).⁸ In addition, a median PFS in the generic group was 15.18 months and 18.86 months in the originator group. This did not differ significantly (HR 1.28 [95%CI 0.72 –

2.17; $p = 0.435$)] and was concordant with the related study (OPTIMAL trial)¹⁴ as median PFS was observed in 13.1 month among patients treated with erlotinib. Interestingly, this study found median PFS was slightly higher than that in the EURTAC study indicating median PFS was 9.7 months. To the best of our knowledge, the prevalence of *EGFR* sensitizing mutation was outstanding in Asian populations compared with that of non-Asian populations. This discrepancy might have stemmed from a different ethnicity which was included in their studies. In one related study¹⁵⁻¹⁶, progression free survival was observed significantly longer among Asian patients receiving *EGFR*-TKIs (HR 0.66 [95%CI 0.48 – 0.91; $p = 0.01$]). Currently, clinical practice involves using generic erlotinib instead of originator due to patients' affordability. Therefore, to ensure the efficacy of these medicines, this study compared progression free survival showing no difference between the generic and originator (HR 1.95 [95%CI 0.99 – 3.54; $p = 0.053$]), indicating the efficacy was similar.

Safety

In this study, no new adverse events were reported. The most common adverse event was skin toxicity totaling 21.0% in the generic group and 32.0% in the originator group. Interestingly, skin toxicity in this study was lower than that reported by EURTAC⁸, OPTIMAL¹⁴ and ENSURE¹⁷ (67.0–73.0% vs. 21.0–32.0%). This disagreement might have been due to a retrospective study leading to an underestimation incidence of adverse events. However, two patients discontinued the treatment due to a grade 3, acne-like rash in the generic group which was compatible with the related reported by the ENSURE study of which seven patients also had grade 3 skin rash. Moreover, this study found one patient left the originator group due to severe dyspnea. Nevertheless, severe dyspnea was not reported from the related studies (EURTAC⁸, OPTIMAL¹⁴ and ENSURE¹⁷). This disparity might be from a patient's disease or erlotinib-induced pneumonitis. According to the limitation of this retrospective study, we could not retrieve further information to clarify the cause of this discontinuation.

The study demonstrates that generic erlotinib offers comparable efficacy and safety to the originator drug for patients with *EGFR*-mutated NSCLC, supporting its use as a first-line treatment, particularly in resource-limited settings. Generic erlotinib improves accessibility without compromising quality, emphasizing the need for regular outcome monitoring and early detection of adverse events. The findings also highlight the importance of integrating cost-effective generics into national health programs to optimize healthcare expenditures. Policymakers should prioritize centralized procurement, stringent quality regulations, and post-marketing surveillance to enhance confidence in generics and improve access to affordable cancer therapies.

However, this study encountered a few limitations. Firstly, missing data were noted such as ECOG performance status, smoking status and adherence, which might have been an underestimation of adverse events resulting from the retrospective study design. Second, adverse events from oral tyrosine kinase inhibitors could occur at home and be

manageable. Thus, spontaneous adverse drug events were unreported leading to an underestimation of adverse events. Furthermore, a small sample size might have been difficult to identify a statistically significant outcome. Although the calculated sample size was 163 patients per group, we included all available patients meeting the inclusion criteria. Ultimately, 57 patients were enrolled in the generic group and 50 patients in the originator group. The limited sample size may be attributed to the relatively low prevalence of *EGFR*-mutated NSCLC diagnosis and patient loss to follow-up during the COVID-19 pandemic. Nevertheless, this study results were confirmed by adjusting the confounding factors and affecting the primary outcomes. To address the limitation of this study, we recommend conducting a prospective investigation to comprehensively evaluate the association between risk factors and survival outcomes. Future studies should include a larger sample size, incorporate multicenter participation to enhance generalizability, and extend the follow-up period to more accurately assess overall survival. These improvements will provide a deeper understanding of the factors influencing survival and inform more robust clinical decision making. Lastly, the specific brands of generic erlotinib were undisclosed in this study, as these drugs were procured through a centralized medicine procurement system managed by Thailand's National Health Security Office (NHSO). Nonetheless, all generic medications procured must adhere to the Thai FDA's quality standards for generic drug regulation.¹⁰

CONCLUSION

This study provides evidence-based insights into the clinical relevance of generic erlotinib in Thai patients with *EGFR*-mutated NSCLC, showing no significant differences in efficacy or safety compared to the originator. These findings support the use of generic erlotinib as a cost-effective alternative, particularly in resource-limited settings. Healthcare providers can improve accessibility without compromising quality, with a focus on monitoring outcomes and managing adverse events like skin toxicity. Additionally, integrating generics into national health programs, enforcing quality standards, and implementing post-marketing surveillance are crucial to optimizing healthcare expenditures and expanding access to affordable cancer therapies.

AUTHORS CONTRIBUTION

P.S. and B.S. contributed to the study conceptualization. P.S., N.N., J.Y., M.S. and B.S. designed the methodology. Material preparation and data collection was performed by P.S., N.P., B.C. and N.S. Analysis was performed by P.S. and B.S. P.S., N.N., J.Y., B.C., M.S. and B.S. discussed the results. P.S. and B.S. prepared the original manuscript. All authors commented on previous versions of the manuscript. All authors read and approved of the final manuscript.

CONFLICT OF INTEREST

The authors declare that there are no conflicts of interest.



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